

02-05-02

PTO/SB/05 (4-98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JC698 U.S. PTO  
01/29/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b).)

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and duplicate for fee processing)

2.  Specification [Total Pages **16**] (preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Microfiche Appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]

4.  Oath or Declaration [Total Pages **2**]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)  
 i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

Attorney Docket No. SD-8355

First Inventor or Application Identifier GROSS

Title DIRECT SYNTHESIS OF A CATALYZED HYDRIDE COMPOUND

Express Mail Label No. ET531594577US

**ADDRESS TO:** Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)

6.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
 a.  Computer Readable Copy  
 b.  Paper Copy (identical to computer copy)  
 c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)

9.  English Translation Document  
(if applicable)  Power of Attorney

10.  Information Disclosure Statement (IDS)/PTO-1449

11.  Preliminary Amendment  Copies of IDS Citations

12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13.  \*Small Entity Statement(s)  Statement filed in prior application,  
(PTO/SB/09/12) Status still proper and desired

14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15.  Other: \_\_\_\_\_

**\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. COORESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	0215868			or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)	
Name <b>Timothy Evans</b> <b>MS 9031</b>					
Address <b>Sandia National Laboratories</b> <b>7011 East Avenue</b>					
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Country <b>USA</b>	Telephone <b>(925) 294-3690</b>			Date <b>1/29/02</b>	
Name (Print/Type) <b>Timothy P. Evans</b>	Registration No. (Attorney/Agent) <b>41,013</b>				
Signature <i>[Signature]</i>					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC714 U.S. PTO  
10/06/2002  
10/06/2002

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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement.  
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

**TOTAL AMOUNT OF PAYMENT (\$)** **932.00**

## Complete if Known

Application Number	not assigned
Filing Date	01/29/2002
First Named Inventor	GROSS
Examiner Name	not assigned
Group / Art Unit	not assigned

**METHOD OF PAYMENT (check one)**

1.  Indicated fees and credit any over payments to:

Deposit Account Number **50-0583**

Deposit Account Name **SNL by KCO**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. **Payment Enclosed:**  
 Check    Money Order    Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

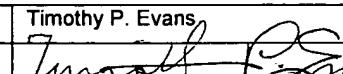
Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	11	1,840	Requesting publication of SIR after Examiner	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	985	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

**SUBTOTAL (2) (\$)** **192.00**

## SUBMITTED BY

Name (Print/Type)	Timothy P. Evans	Complete (if applicable)
Signature		Reg. Number (Attorney/Agent) <b>41,013</b> Telephone (925) 294-3690 Date <b>1/29/02</b>

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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